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PTO/SB/08A (08-03)

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Substitute for form 1449/1-6

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1

of	1
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**Complete if Known**

Application Number	09/854,334
Filing Date	May 11, 2001
First Named Inventor	Dan Kikinis
Art Unit	2614
Examiner Name	Jade O Laye
Attorney Docket Number	091451.00120

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Hoang Vu Nguyen Ba/

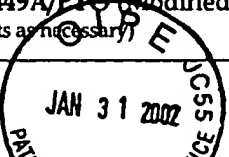
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10/29/80	10/29/80
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05/24/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP §01.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO-84 (Modified) (use as many sheets as necessary)	Attorney Docket No.: 4688.P016	Application Number: 09/854,334
	First Named Inventor: Kikinis, et al.	
	Filing Date: 05/11/2001	

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
/HAN/		5,414,773		Handelman	05-09-1995	
/HAN/		5,479,268		Young et al.	12-26-1995	
/HAN/		5,532,754		Young et al.	07-02-1996	
/HAN/		5,737,028		Bertram et al.	04-07-1998	
/HAN/		5,751,282		Girard et al.	05-12-1998	
/HAN/		5,818,441		Throckmorton et al.	10-06-1998	
/HAN/		5,841,563		Effenberger	11-24-1998	
/HAN/		5,900,915		Morrison	05-04-1999	
/HAN/		5,926,168		Fan	07-20-1999	
/HAN/		5,956,456		Bang et al.	09-21-1999	
/HAN/		5,999,167		Marsh et al.	12-07-1999	
/HAN/		6,029,195		Herz	02-22-2000	
/HAN/		6,075,575		Schein et al.	06-13-2000	
/HAN/		6,167,188		Young et al.	12-26-2000	
/HAN/		6,205,485	B1	Kikinis	03-20-2001	
/HAN/		6,233,389	B1	Barton et al.	05-15-2001	
/HAN/		6,271,831	B1	Escobosa et al.	08-07-2001	

### FOREIGN PATENT DOCUMENTS

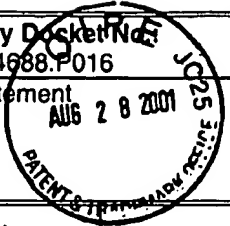
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/HAN/		WO	00/01149	A1	NDS Limited	01-06-2000		
/HAN/		JP	410093880	A	KOMI, et al.	04-10-1998		n
Examiner Signature		/Hoang Vu Nguyen Ba/				Date Considered	05/24/2007	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Form PTO-1449 (Modified)		Atty Docket No. 004688.P016		Serial No.: 09/854,334	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis	
				Filing Date: 05/11/01	



REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Document No.	Document No.	U.S. Patent Documents	Class	Sub-Class	Filing date if appropriate
/HAN/	AA	5,808,613	Marrin, et al.			
/HAN/	AB	6,002,403	Sugiyama, et al.			
/HAN/	AC	6,043,818	Nakano			
/HAN/	AD	5,724,492	Matthews III, et al.			
/HAN/	AE	5,977,964	Williams, et al.			
/HAN/	AF	5,485,197	Hoarty			
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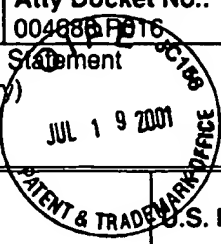
FOREIGN PATENT DOCUMENTS							
No.	Document No.	Date	Country	Class	Sub-Class	Trans-lation	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
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<b>Form PTO-1449 (Modified)</b>		<b>Atty Docket No.:</b> 004885P016		<b>Serial No.:</b> 09/854,334	
List of Patents and Publications Statement (Use several sheets if necessary)				<b>Applicant:</b> Dan Kikinis	
				<b>Filing Date:</b> 05/11/01	



<b>REFERENCE DESIGNATION</b>						
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Document No.	Document No.	Title	Class	Sub-Class	Filing date if appropriate
/HAN/	AA	5,243,418	Kuno, et al.			
/HAN/	AB	5,999,187	Dehmlow, et al.			
/HAN/	AC	6,243,039	Elliot			
/HAN/	AD	6,061,055	Marks			
/HAN/	AE	6,081,271	Bardon, et al.			
/HAN/	AF	5,704,837	Iwasaki, et al.			
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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
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/HAN/	AA 4,621,259	Schepers, et al.				
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Form PTO-1449 (Modified)		Atty Docket No.: 004688.P016		Serial No.: Not Yet Assigned		J1046 U.S. PTO 09/854334 	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis			
				Filing Date: Herewith			

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
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/HAN/	AA	5,929,849	Kikinis			
/HAN/	AB	6,078,348	Klosterman, et al.			
/HAN/	AC	6,072,983	Klosterman			
/HAN/	AD	5,923,362	Klosterman			
/HAN/	AE	5,940,073	Klosterman et al.			
/HAN/	AF	5,828,945	Klosterman			
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